



6670/0/2001

MAY 21, 2002

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

DARBY & DARBY P.C.
S. PETER LUDWIG
805 THIRD AVENUE
27TH FLOOR
NEW YORK, NY 10022-7513



102093036A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

CORRECTED
NOTICE

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/06/2002

REEL/FRAME: 012565/0952
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PAQUIN, JOANNE

DOC DATE: 11/01/2001

ASSIGNOR:
MATEESCU, MIRCEA-ALEXANDRU

DOC DATE: 11/01/2001

ASSIGNOR:
DE GRANDPRE, ERIC

DOC DATE: 11/01/2001

ASSIGNEE:
UNIVERSITE DU QUEBEC A MONTREAL
CASE POSTALE 8888, SUCCURSALE
CENTRE-VILLE
MONTREAL (QUEBEC), CANADA H3C 3P8

SERIAL NUMBER: 10021735
PATENT NUMBER:

FILING DATE: 11/05/2001
ISSUE DATE:

012565/0952 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

05-20-2002



COVER SHEET
ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Docket No.: 6670/OK001

To the Honorable Commission.

102093036

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joanne PAQUIN; Mircea-Alexandru MATEESCU;
Eric DE GRANDPRE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: November 1, 2001

2. Name and address of receiving party(ies):

Name: **UNIVERSITE DU QUEBEC A MONTREAL**

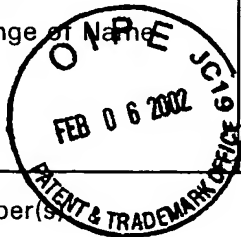
Internal Address:

Street Address: **Case postale 8888, Succursale**

Centre-Ville

City: **Montreal (Quebec)** State: **Canada** Zip: **H3C 3P8**

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the extension number of the application is:

A. Patent Application No.(s)

10/021,735, filed November 5, 2001

EXPRESS MAIL PERMIT NO. 102093036
Date: 2/6/02 Label No. 2767723455US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

DBRCK [Signature]

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: S. Peter Ludwig

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marc S. Gross (19,614)
Name of Person Signing

[Signature]
Signature

February 6, 2002
Date

Total number of pages including cover sheet, attachments, and document:

3

**DARBY &
DARBY**

PROFESSIONAL
CORPORATION

INTELLECTUAL PROPERTY LAW

805 THIRD AVENUE
NEW YORK, NY 10022-7513
TEL (212) 527-7700
FAX (212) 753-6237

May 1, 2002

MARIE L. COLLAZO
REGISTERED PATENT AGENT
PATENT SERVICES MANAGER
212-527-7764
mcollazo@darbylaw.com

Reference: 6670/OK001

U.S. Patent and Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Highway
Suite 320
Washington, D.C. 20231

ATTENTION: Margaret LaSalle, Paralegal

Re: Assignment Recordation for
U.S. Patent Application Serial No. 10/021,735
Recorded at Reel/Frame: 012565/0952 on February 6, 2002

Dear Ms. LaSalle:

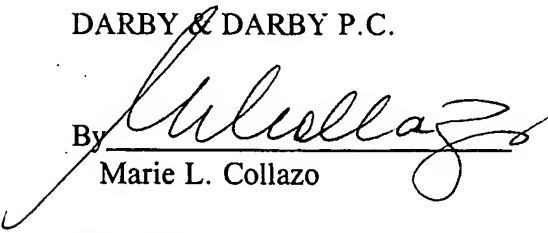
We have reviewed the information on the Notice of Recordation of Assignment Document for the subject application and have found the following USPTO error(s):

The correct spelling of the Assignor's name should be
Mircea -Alexandru Mateescu

We enclose the Notice of Recordation of Assignment Document, original Recordation Form Cover Sheet and original Assignment and respectfully request correction.

Sincerely,

DARBY & DARBY P.C.

By 
Marie L. Collazo

MLC:dp
Enclosures

ASSIGNMENT

The undersigned PAQUIN, Joanne; MATEESCU, Mircea-Alexandru; and DE GRANDPRÉ, Éric

whose full post office addresses are (address is) 10151 Georges Baril, Montréal (Québec) CANADA H2C 2M9; 377 Sherbrooke O, Apt. 505, Montréal (Québec) CANADA H3A 1B5; and 532 Olivier, Joliette (Québec) CANADA J6E 5L9

in consideration of one dollar, to us (me) paid by UNIVERSITÉ DU QUÉBEC À MONTRÉAL

(hereinafter referred as the assignee)

whose full post office address is: Case postale 8888, Succursale Centre-Ville, Montréal (Québec) CANADA H3C 3P8

receipt of which is hereby acknowledged, and other good and valuable considerations, we (I) do hereby sell and assign (and/or confirm such sale and assignment) to the said assignee all our (my) right, title and interest in Canada, the United States and everywhere else throughout the world, in and to our (my) invention entitled NEUROPROTECTIVE COMPOSITION AND USES THEREOF


as fully described and claimed in International PCT patent application No. PCT/CA00/00523 filed on May 5, 2000 and to all our (my) corresponding right, title and interest in and to any patent which may issue therefor in Canada, the United States or elsewhere.

We (I) further agree to execute all the Forms and papers that may be necessary to file an original, continuation, divisional or reissue application in the United States and to extend patent protection on the above invention worldwide in the name of the Assignee.

It is the express wish of the parties that this Agreement and all related documents be drafted in the English language. C'est la volonté expresse des parties que cette convention et tous les documents y afférant soient rédigés en langue anglaise.

SIGNED this 1^{er} day of NOUEMBRE 2001
(day) (month) (year)
at MONTRÉAL QUÉBEC CANADA
(City) (Province) (Country)

WITNESS


Full name: MULHBACHER, Jérôme


PAQUIN, Joanne

SIGNED this 1st day of November 2001
 (day) (month) (year)
 at Montreal (Qué) CANADA
 (City) (Province) (Country)

WITNESS

[Signature]
 Full name: MULHBACHER, Jérôme

M. A. M.
 MATEESCU, Mircea-Alexandru

SIGNED this 1 day of novembre 2001
 (day) (month) (year)
 at Montreal Qc Canada
 (City) (Province) (Country)

WITNESS

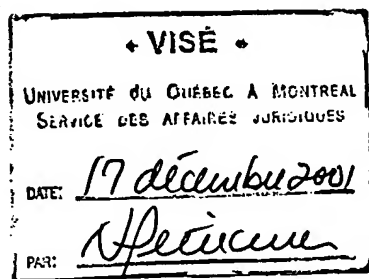
Joanne Paquin
 Full name: JOANNE PAQUIN

Eric De Grandpré
 DE GRANDPRÉ, Éric

READ AND APPROVED

SIGNED this 17 day of december 2001
 (day) (month) (year)
 at Montreal Québec Canada
 (City) (Province) (Country)

By: UNIVERSITÉ DU QUÉBEC
 À MONTRÉAL



[Signature]
 Name: CODERRE, Daniel
 Title: Vice-Rector
 (Research and Creation)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I (we) hereby declare that my (our) residence, post office address and citizenship are as stated below next to my (our) name; I (we) believe that I am (we are) the original, first and sole inventor(s) (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled: NEUROPROTECTIVE COMPOSITION AND USES THEREOF

the specification of which (check one): ☐ is attached hereto; ☐ was filed on _____ as application serial No. _____ and was amended on (or amended through) _____ (if applicable); was filed on May 5, 2000 as International Application (PCT) No. PCT/CA00/00523 and amended on July 13, 2001 (if applicable). I (we) hereby state that I (we) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I (we) acknowledge the duty to disclose information known by me (us) to be material to the patentability of my (our) invention in accordance with Title 37, Code of Federal Regulations, § 1.56(a). I (we) hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application which priority is claimed.

I (We) hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application which priority is claimed.

Prior Foreign Application(s)			Priority Claimed	
2,270,795	CA	05/05/1999	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	YES	NO

I (we) hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I (we) acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior art application and the national or PCT international filing date of this application:

(Appl. No.)	(Filing date)	(Status – Patented, Pending or Abandoned)
(Appl. No.)	(Filing date)	(Status – Patented, Pending or Abandoned)

I (we) hereby declare that all statements made herein of my (our) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (we) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Garabed NAHABEDIAN (Reg. No. 29,507); Thierry ORLHAC (Reg. No. 29,497); Alain PROVOST (Reg. No. 33,143); Nathalie JODOIN (Reg. No. 41,558); Louis-Pierre GRAVELLE (Reg. No. 44,429); Luc MORIN (Reg. No. 44,430); and France LECLAIRE (Reg. No. 48,115), whose professional address is 55 St Jacques, Montreal, Quebec, Canada, H2Y 3X2.

Full name of First Inventor or Sole Inventor Joanne PAQUIN	Citizenship Canadian
Residence Address-Street 10151 Georges Baril	Post Office Address-Street 10151 Georges Baril
City Montréal	City Montréal
State or Country Zip Québec CANADA H2C 2M9	State or Country Zip Québec CANADA H2C 2M9
Date 1er nov. 2001	Signature <i>Joanne Paquin</i>

Full name of Second inventor Mircea-Alexandru MATEESCU	Citizenship Canadian
Residence Address-Street 377 Sherbrooke O., Apt. 505	Post Office Address-Street 377 Sherbrooke O., Apt. 505
City Montréal	City Montréal
State or Country Zip Québec CANADA H3A 1B5	State or Country Zip Québec CANADA H3A 1B5
Date Nov. 1st 2001 <i>M. A. Mateescu</i>	Signature <i>M. A. Mateescu</i>

Full name of third Inventor Éric DE GRANDPRÉ	Citizenship Canadian
Residence Address-Street 532 Olivier	Post Office Address-Street 532 Olivier
City Joliette	City Joliette
State or Country Zip Québec CANADA J6E 5L9	State or Country Zip Québec CANADA J6E 5L9
Date <i>1 novembre 2001</i>	Signature <i>Eric De Grandpré</i>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Name of Applicant: Joanne Paquin et al.

Assignee: Gestilab

Title: **NEUROPROTECTIVE COMPOSITIONS AND USES THEREOF**

Serial No.: 10/021,735

POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby appoint:

Charles W. Ashbrook (Reg. No. 27,610), Evan J. Federman (Reg. No. 37,060), Mehdi Ganjeizadeh (Reg. No. 47,585), Suzanne M. Harvey (Reg. No. 42,640), David R. Kurlandsky (Reg. 41,505), Darryl Little (Reg. No. 40,703), Barry Jacobsen (Reg. No. 43,689), Claude F. Purchase, Jr. (Reg. No. 47,871), Francis J. Tinney (Reg. No. 33,069), Linda Vag (Reg. No. 32,071), Kenneth G. Lemke (Reg. No. 47,746), Erika Singleton Wilson (Reg. No. 52,368)

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Evan J. Federman
Assistant General
Patent Counsel
Warner-Lambert Company
201 Tabor Road
Morris Plains, New Jersey 07950
Phone 973 385-5263
Fax 973 385-3117

Respectfully submitted,

By: 

Thierry Orlhac
Reg. No. 29,497

Date: January 29, 2004

/ca
cardio735